

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15168

In Re Application Of: **Ichiro Fujieda, et al.**

Serial No.
10/023,082

Filing Date
December 17, 2001

Examiner
P.J. Macchiarolo

Group Art Unit
2875

Title: **LIGHT EMITTING DEVICE AND PRODUCTION METHOD THEREOF**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15168

In Re Application: **Ichiro Fujieda, et al.**

Serial No.
10/023,082

Filing Date
December 17, 2001

Examiner
P.J. Macchiarolo

Group Art Unit
2875

LIGHT-EMITTING DEVICE AND PRODUCTION METHOD THEREOF



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **July 2, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Signature

Dated: **July 2, 2004**

Paul J. Esatto, Jr.

Registration No. 30,749

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

Garden City, NY 11530

(516)742-4343

PJE:nis

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ichiro Fujieda, et al. **Examiner:** P.J. Macchiarolo
Serial No: 10/023,082 **Art Unit:** 2875
Filed: December 17, 2001 **Docket:** 15168
For: LIGHT EMITTING DEVICE AND **Dated:** July 2, 2004
PRODUCTION METHOD THEREOF



Confirmation No.: 7772

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 2213-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

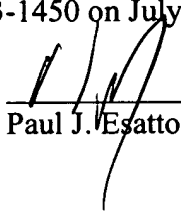
In accordance with 37 C.R.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Application Laid-Open No. 2000-221907, dated August 11, 2000; and
2. Japanese Patent Application Laid-Open No. 2000-268978, dated September 29, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on July 2, 2004.

Dated: July 2, 2004



Paul J. Esatto, Jr.

The references were cited in an Official Action dated April 2, 2004, received from the Chinese Patent Office. Applicants are submitting copies of the above references, together with a translation of the Examiner's comments regarding all the references from the Official Action. Please note that the other two references cited in the Office Action, namely, Japanese Patent Application Laid-Open No. HEI 5-21158, dated January 29, 1993 and Japanese Patent Application Laid-Open No. P2000-77181A, dated March 14, 2000, were previously submitted in applicant's Information Disclosure Statement dated December 17, 2001. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the official action in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the official action submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this
Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.

Registration No.: 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:nis

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Atty. Docket No. 15168		Serial No. 10/023,082	
				Applicant: Ichiro Fujieda, et al			
				Filing Date December 17, 2001		Group 2875	

JUL 06 2004
 U.S. PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
EXAMINER'S INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	2000-221907	08/11/00	Japan				
	2	2000-268978	09/29/00	Japan				
	3							
	4							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
----------	-----------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.